# ORIGINAL PAPER

# Tuning electronic structure and photophysical properties of $[Ir(ppy)_2(py)_2]^+$ by substituents binding in pyridyl ligand: a computational study

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Abstract Iridium (III) 2-phenylpyridine (ppy) complexes with two suitable monodentate L ligands  $[Ir(ppy)_2(L)_2]^{\dagger}$ (ppy = 2-phenylpyridine, py = pyridine, L = 4-pyCN 1, 4pyCHO 2, 4-pyCl 3, py 4, 4-pyNH<sub>2</sub> 5) were studied by density functional theory (DFT) and time-dependent DFT methods. The influences of ligands L on the electronic structure and photophysical properties were investigated in detail. The compositions and energy levels of the lowest unoccupied molecular orbital (LUMO) are changed more significantly than those of the highest occupied molecular (HOMO) by tuning L ligands. With the electronegativity decrease of L ligands 4-pyCN > 4-pyCHO > 4-pyCl > py > 4-pyNH<sub>2</sub>, the LUMO distributing changes from py to ppy, and the absorptions have an obvious red shift. The calculated results showed that the transition character of the absorption and emission can be changed by adjusting the electronegativity of the L ligands. In addition, no solvent effect was observed in the absorptions and emissions.

**Keywords** Density functional theory · Electronic structure · Iridium (III) complex · Photophysical property · Solvent effect

# Introduction

Phosphorescent iridium (III) complexes have been explored for a variety of photonic applications including emitting

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T.-T. Zhang · X.-X. Qi · J. Jia · H.-S. Wu (⊠) School of chemistry and Materials Science, Shanxi Normal University, Linfen 041004, China e-mail: wuhssxtu@163.com diodes [1, 2], biological labeling [3], photosensitization [4, 5], and emissive materials [6] in electrochemiluminescent. Especially, six-coordinate cyclometallated Ir(III) complexes with one, two, or three 2-phenylpyridine (ppy), or derivative C^N ligands and other ancillary ligands [7–9], such as Ir (Mebib)(ppy)X (Mebib = bis(N-methylbenzimidazolyl)benzene;  $X = Cl, -C \equiv C, CN$ ),  $Ir(\eta^2-ppy)_2(\eta^2-XZY)$  (XZY = 2-mercaptopyridine (mp) and trimethylacetic acid (tma) *etc.*), Ir(ppy)<sub>3</sub>, and Ir(C^N)<sub>2</sub>LX (C^N = benzoquinoline (bzq), 2-(4-tolyl)pyridine (tpy), 2-(2-thienyl)pyridine (thp), LX = acetoylacetonate (acac), dibenzoylmethanate (dbm)), have received intensive attention due to their favorable photochemical and photophysical properties. The luminescence properties of Ir(III) complexes can be fine-tuned by ligand substituents, resulting in distinct emission color tuning [10].

On the other hand, Ir(III) complexes have also attracted considerable interest as sensor, because of their significant stokes shifts, long emissive lifetimes, and large emission shifts from change in the local environment compared with purely organic luminophores [11]. Although some Ir(III) compound-based chemosensors for anion [12-14] and oxygen [15, 16] have been described, the chemosensors to heavy metal ions are still less explored. To the best of our knowledge,  $Ir(btp)_2(acac)$  (btp = 2-(benzo[b]thiophen-2-yl) pyridine) presents highly selective phosphorescent chemosensor for  $Hg^{2+}$ ,  $[Ir(ppy)_2 L]PF_6$  (L = (4-[2,2]Bipyridinyl-5-ylethynylphenyl)pyridin-2-ylmethylamine) shows selective luminescence recognition to  $Zn^{2+}$ , and  $[Ir(ppy)_2L]PF_6$ (L = (4-[2,2]Bipyridinyl-5-ylethynylphenyl)thiophen-2vlmethylamine) exhibits a unique off-on-off luminescence switching effect to  $Cu^{2+}$  [17, 18], which have been investigated experimentally and theoretically. Recently, a series of new cationic Ir(III) complexes  $[Ir(ppy)_2L_2]^+$  (L = 4-pyCHO, 4-pyNH<sub>2</sub>) have been synthesized, and X-ray crystal structures, absorption and emission spectra have been

investigated by Sie et al. [19]. It is worth noting that the L ligand in Ir(III) complexes  $[Ir(ppy)_2L_2]^+$  is monodentate ligand, which is different from the bidentate L in Ir (C^N)<sub>2</sub>L complexes mentioned above. Meanwhile, the quantum yield of  $[Ir(ppy)_2(4-pyNH_2)_2]^+$  is much higher than that of  $[Ir(ppy)_2(4-pyCHO)_2]^+$ , and  $[Ir(ppy)_2(4-pyNH_2)_2]^+$ has shown good chemical-sensing ability to silver salts. Therefore, by changing the substitutive group on the ancillary ligand (py) can change spectroscopic property and furthermore make change in potential application. But the theoretical study on the spectral properties of these complexes is sparse from an electronic structure point of view. In addition, the relationship between L ligands and the spectra in the complexes  $[Ir(ppy)_2L_2]^+$  is not clear. Therefore, a deep insight into the structures and spectroscopic properties for this kind of complexes is much needed and significant.

To foresee new structure-property relationships and reveal the effects of the ligands L on electronic structure and photophysical property, we carried out the quantum chemistry studies on the cationic Ir (III) 2-phenylpyridine complexes with two suitable monodentate ancillary ligands L,  $[Ir(ppy)_2(L)_2]^+$  (ppy = 2-phenylpyridine, py = pyridine, L = 4-pyCN 1, 4-pyCHO 2, 4-pyCl 3, py 4, 4-pyNH<sub>2</sub> 5), using density functional theory (DFT) methods [20]. In addition, the influences of different solvents on the spectra were also studied in detail. The present study presents useful information for the design of new phosphors based on [Ir (ppy)<sub>2</sub>(L)<sub>2</sub>]<sup>+</sup> complexes with different ligands L in sensor.

### **Computation methods**

All of the calculations were accomplished by using the Gaussian 03 software package [21]. A hybrid Hartree-Fock (HF)/DFT model approach based on the Perdew-Burke-Erzenrhof (PBE) functional [22, 23], referred to as PBE1PBE, where the HF/DFT exchange ratio is fixed a priori to 1/4, was used to optimize the ground state, and the unrestricted PBE1PBE (UPBE1PBE) method was used to optimize the excited state geometries. There were no symmetry constraints on these complexes. Although the high electron multiplicity is considered, the calculated spin

contamination is rather small: the expectation values of spin operator  $\langle S^2 \rangle$  are about 2.03 for triplet states. Base on optimized geometries in the ground and the excited state, the molecular orbital compositions, and absorption and emission spectra in CH<sub>2</sub>Cl<sub>2</sub> media were calculated by time-dependent DFT (TD-DFT) method [24-26] at the PBE1PBE hybrid functional level associated with the polarized continuum model (PCM) [27, 28]. In addition, the molecular orbital compositions (population analysis) were calculated by C-squared population analysis (SCPA) method [29] and the absorption spectra were simulated by means of Swizard [30] program using Gaussian functions with half-width of 3000 cm<sup>-1</sup>. In the calculations, the quasirelativistic pseudo-potentials of Ir atom proposed by Hay and Wadt [31, 32] with 17 valence electrons were used. The LANL2DZ basis set associated with the pseudo-potential was adopted for Ir atom, and 6-31G(d) basis bet was adopted for other atoms. This kind of theoretical approach and calculation level has been proven to be reliable for transition-metal complex systems [33-37].

To explain the rationality of the PBE1PBE method and LANL2DZ/6-31G(d) basis set, complex 2 was selected to do the calculation test with different functionals and basis sets. Tables 1 and 2 show that the excitation energies and ground geometries obtained by the basis set LANL2DZ/6-31G(d) are more accurate than the results obtained by other larger basis sets; moreover, LANL2DZ/6-31G(d) is good for saving computational resources. The geometry parameters and the excitation energies of absorptions obtained by different functionals including PBE1PBE, B3LYP (Becke's three-parameter functional and the Lee-Yang-Parr functional) [38, 39], B3P86 (Becke's three-parameter functional and the Perdew 86 functional), BPBE (Becke's Perdew-Burke-Erzenrhof) [40], and BPW91 (Becke's functional and the Perdew-Wang 91 functional) [41-43] were shown in Tables S1 and S2 (Supplementary material). The stable geometries and the absorptions obtained by PBE1PBE are more accurate than other functionals compared with the experimental results. Therefore, we predicted the structure and photophysical properties of complexes 1, 3 and 4 using the PBE1PBE method and LANL2DZ/6-31G(d) basis set.

 
 Table 1
 TDDFT calculation on excitation energies of complex 2 with different basis sets

	Exptl	Lanl2dz	Lanl2dz/6-31G	Lanl2dz/6-31G(d)	Lanl2dz/6-311(d)
Peak 1	376	397	394	382	385
Peak 2	269	261	258	260	264
Peak 3	231	228	227	228	229
	Lanl2dz/6-31 + G(d)	SDD	SDD/6-31G(d)	SDD/6-311G(d)	SDD/6-31 + G(d)
Peak 1	391	396	381	382	388
Peak 2	266	261	260	263	265
Peak 3	232	228	228	230	232

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Table 2 The or	timized ground	geometries	of comp	olex 2 ol	btained by	different basis	sets
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	Exptl	Lanl2dz	Lanl2dz/6-31G	Lanl2dz/6-31G(d)	Lanl2dz/6-311G(d)	Lanl2dz/6-311 + G(d)
Bond length (Å)						
Ir – N (1)	2.046 (5)	2.056	2.059	2.056	2.056	2.056
Ir – N (2)	2.050 (6)	2.056	2.059	2.056	2.056	2.056
Ir – N (3)	2.180 (7)	2.179	2.195	2.179	2.180	2.180
Ir – N (4)	2.182 (5)	2.180	2.194	2.180	2.179	2.179
Ir – C (1)	2.003 (6)	2.016	2.015	2.016	2.017	2.017
Ir – C (2)	2.004 (9)	2.017	2.014	2.017	2.016	2.016
Bond angle (deg)						
N(1) - Ir - N(2)	173.0 (2)	173.9	174.0	173.9	173.9	173.9
N (1) – Ir – N (3)	85.2 (8)	85.5	85.2	85.4	85.4	85.4
N (3) – Ir – N (4)	89.7 (2)	92.9	92.7	93.0	92.9	92.9
C (1) – Ir – C (2)	90.5 (3)	87.6	88.0	87.6	87.6	87.6
C (1) – Ir – N (1)	79.7 (3)	80.5	80.3	80.5	80.5	80.5
C (1) – Ir – N (4)	179.3 (9)	177.1	177.6	177.3	177.3	177.3

# **Results and discussion**

Table 3Main optimized groundstate geometry parameters of1-5, together with the experi-

mental values of 2

The molecular geometry structures in the ground state

The main optimized ground state geometry parameters of 1-5 together with the X-ray crystal diffraction data [19] of 2 are given in Table 3, and the optimized geometries of 1-5 in the gas phase are shown in Fig. 1.

Table 3 indicates that the optimized bond lengths and bond angles of 2 are in general agreement with the experimental values. The geometry around Ir in each of the complexes is distorted octahedral with two mutually trans ppy pyridyl groups and two pyridine-derived monodentates, which are trans to the ppy phenyl rings. Due to the intense participation of a conjugating phenyl groups, the bond lengths of Ir - N3(4) are slightly longer than those of Ir - N1(2) [44]. All the Ir - C1 (2) and Ir - N1(2) bond lengths and bond angles are within normal ranges in comparison with other Ir(III) bis-ppy complexes with two monodentate ligands [45–47]. The small change of Ir - N3(4) result from the effects of different ligands L. In addition, the strengthened metal - ligand (Ir -N) bond is significantly important to improve the phosphorescent quantum efficiency, and this may be the origin of the high efficiency of these complexes [48].

	1	2		3	4	5
	$S_0$	S <sub>0</sub>	exptl	$\mathbf{S}_{0}$	$S_0$	$S_0$
Bond length (Å)						
Ir – N (1)	2.062	2.062	2.046 (5)	2.061	2.060	2.057
Ir – N (2)	2.063	2.062	2.050 (6)	2.061	2.060	2.057
Ir – N (3)	2.237	2.234	2.180 (7)	2.238	2.234	2.234
Ir – N (4)	2.237	2.233	2.182 (5)	2.238	2.234	2.234
Ir – C (1)	2.003	2.004	2.003 (6)	2.003	2.003	2.002
Ir – C (2)	2.003	2.003	2.004 (9)	2.003	2.003	2.002
Bond angle (deg)						
N (1) – Ir – N (2)	174.2	174.2	173.0 (2)	174.3	174.3	174.9
N (1) – Ir – N (3)	85.1	85.0	85.2 (8)	85.2	85.1	85.4
N (3) – Ir – N (4)	92.6	92.3	89.7 (2)	92.1	92.0	91.5
C (1) – Ir – C (2)	88.6	88.5	90.5 (3)	88.9	88.5	88.7
C (1) – Ir – N (1)	80.3	80.3	79.7 (3)	80.3	80.3	80.3
C (1) – Ir – N (4)	177.8	178.0	179.3 (9)	178.1	178.0	177.9

**Fig. 1** Optimized ground state geometries structure of 1–5 at the PBE1PBE level



Electronegativity of the ligands L

In order to study the relationship between electronwithdrawing abilities of different ligands L (4-pyCN, 4pyCHO, 4-pyCl, py, and 4-pyNH<sub>2</sub>) and electronic structure, the electronegativity ( $\chi$ ) was introduced to discuss. It can be expressed as [49]:  $\chi = -(E_{HOMO} + E_{LUMO})/2$ . Here,  $E_{HOMO}$ is the energy of highest occupied molecular orbital (HO-MO), and  $E_{LUMO}$  is the energy of lowest unoccupied molecular orbital (LUMO). From the HOMO and LUMO energies of optimized ligands L, the calculated  $\chi$  values are 4-pyCN (4.94 eV) > 4-pyCHO (4.85 eV) > 4-pyCl (4.21 eV) > py (3.82 eV) > 4-pyNH<sub>2</sub> (3.10 eV) (Table S3 in Supplementary material), indicating that the electronwithdrawing abilities of the ligands L decrease along this order.

### The frontier molecular orbital properties

The frontier molecular orbital compositions of 1–5 are compiled in Tables S4–S8 (Supplementary material). Schematic diagrams of the HOMOs and the LUMOs of 1–5 are shown in Fig. 2. The calculated results showed that LUMO and LUMO + 1 of 1 and 2 are localized on the  $\pi^*(L)$ . For 3,  $\pi^*$ (ppy) and  $\pi^*(L)$  contribute the compositions of LUMO and LUMO + 1 as shown in Table S6 (Supplementary material) and Fig. 2. However, the LUMO and LUMO+1 of 4 and 5 are mostly concentrated on  $\pi^*(ppy)$ . It is interesting to note that the compositions of LUMO and LUMO+1 convert from  $\pi^*(L)$  to  $\pi^*(ppy)$  with decreased  $\chi$  values of L ligands 1 > 2 > 3 > 4 > 5. In contrast, the compositions of higher occupied molecular orbitals are hardly affected by L ligands. The HOMOs of **1–5** have strong d(Ir) (>45 %) which is nearly equivalent contribution from the  $\pi$ (ppy). The HOMO–1 s of **1–5** lie primarily on the  $\pi$ (ppy) (> 85 %), with the exception that the HOMO–1 of **5** is composed of d(Ir),  $\pi$ (ppy), and  $\pi$ (L). Moreover, the contribution of  $\pi$ (ppy) to the HOMO-1 decreases in the order of **1** > **2** > **3** > **4** > **5**, in line with the electron-withdrawing abilities of L ligands: 4-pyCN > 4-pyCHO > 4-pyCl > py > 4-pyNH<sub>2</sub>.

Different L ligands can change the energy levels of the LUMO more significantly than those of the HOMO, and the LUMO energies increase with decreased  $\chi$  values of ligands L: 1 (-2.9779 eV) < 2 (-2.8234 eV) < 3 (-1.8828 eV) < 4 (-1.7549 eV) < 5 (-1.6277 eV). Therefore, the strong electronegativity group L can stabilize the LUMO and make HOMO-LUMO energy gap narrow [50, 51]. On the contrary, the weak electronegativity group 4-pyNH<sub>2</sub> increases the LUMO energy in 5. In addition, Table S9 (Supplementary material) shows that the solvent effect is obvious, and the energies of HOMO and LUMO of 1-5 are greatly decreased in gas phase. The effects of ligands L are different from those of ligands X in complexes  $[Ir(ppy)_2X_2]$  (X = CN, NCS, and NCO) [52], in which the energy levels of HOMO are changed more significantly than those of LUMO by tuning X ligands.

### Absorptions in CH<sub>2</sub>Cl<sub>2</sub> media

The calculated absorption energies associated with their oscillator strengths, the main configurations, and their assignments, as well as the experimental results of 2 and 5



Fig. 2 Schematic diagrams of the HOMOs and the LUMOs of 1-5 (Isovalue for orbital surface = 0.05)

are given in Table 4. The fitted Gaussian type absorption curves shown in Figs. 3 and 4 display the energy levels of molecular orbital involved in transitions of 1-5, which can intuitively understand the transition process.

In the visible region (420–520 nm), the absorption bands of 1 and 2 at 457 nm and 472 nm are contributed by HOMO  $\rightarrow$  LUMO excitation. Table S5 (Supplementary material) shows that HOMO of 2 is composed of d(Ir) and  $\pi$ (ppy), while LUMO is dominantly localized on L (4-pyCHO) ligand. Thus, the absorption of **2** at 472 nm is attributed to  $\{[d(Ir) + \pi(ppy)] \rightarrow [\pi^*(L)]\}$  transition with metal-to-ligand and ligand-to-ligand charge transfer (MLCT/LLCT) transition characters. Similarly, the absorption of **1** at 457 nm is assigned to  $\{[d(Ir) + \pi(ppy)] \rightarrow [\pi^*(L)]\}$  (L = 4-pyCN) transition. For complexes 3–5, there are not strong absorptions in this region.

Table 4 The vertical singlet absorptions of 1-5 in dichloromethane calculated according to TDDFT method, together with the experimental values

	Transition	CI coeff	E(eV)/(nm)	Oscillator	Assignment	$\lambda_{exp}/nm (\varepsilon)^a$
1	$\mathrm{H} \to \mathrm{L}$	0.69780 (97 %)	2.71/457	0.0161	MLCT/LLCT	
	$H - 2 \rightarrow L$	0.60453 (73 %)	3.51/353	0.0534	MLCT/LLCT	
	$H - 4 \rightarrow L$	0.33736 (23 %)			MLCT/LLCT	
	$H - 1 \rightarrow L + 6$	0.59291 (70 %)	4.85/256	0.3223	MLCT/ILCT	
	$\mathrm{H}-7 \rightarrow \mathrm{L}+3$	0.44221 (39 %)	5.67/219	0.2703	MLCT/ILCT/LLCT	
	$\mathrm{H}-13 \rightarrow \mathrm{L}+1$	0.33028 (22 %)			ILCT/LLCT	
	$\mathrm{H}-\mathrm{14} \to \mathrm{L}$	0.26249 (14 %)			ILCT/LLCT	
2	$\mathrm{H} \to \mathrm{L}$	0.69645 (97 %)	2.63/472	0.0151	MLCT/LLCT	
	$H - 2 \rightarrow L$	0.59458 (71 %)	3.42/363	0.0471	MLCT/LLCT	376 (5.1)
	$H - 4 \rightarrow L$	0.29439 (17 %)				
	$H - 1 \rightarrow L + 6$	0.37393 (28 %)	4.85/255	0.4123	MLCT/ILCT	269 (19.3)
	$\mathrm{H}-2 \rightarrow \mathrm{L}+4$	0.27273 (15 %)			MLCT/LLCT /ILCT	
	$\mathrm{H}-10 \rightarrow \mathrm{L}+1$	0.24148 (12 %)			MLCT/LLCT/ILCT	
	$\mathrm{H}-15 \rightarrow \mathrm{L}+1$	0.39666 (31 %)	5.67/218	0.2190	LLCT/ILCT	231 (38.0)
	$H - 16 \rightarrow L$	0.24718 (12 %)			LLCT/ILCT	
3	$H \rightarrow L + 1$	0.55231 (61 %)	3.32/373	0.0583	MLCT/ILCT/LLCT	
	$\mathrm{H} \rightarrow \mathrm{L} + 2$	0.40505 (33 %)			MLCT/ILCT /LLCT	
	$\mathrm{H}-1 \rightarrow \mathrm{L}+3$	0.50239 (50 %)	4.16/298	0.1442	MLCT/LLCT/ILCT	
	$\mathrm{H}-2 \rightarrow \mathrm{L}+1$	0.26551 (14 %)			MLCT/LLCT/ILCT	
	$H - 3 \rightarrow L$	0.22147 (10 %)			MLCT/LLCT/ILCT	
	$H - 1 \rightarrow L + 6$	0.56754 (64 %)	4.84/256	0.3634	MLCT/ILCT	
	$H - 12 \rightarrow L$	0.14671 (27 %)	6.00/207	0.1984	LLCT/ILCT	
	$\mathrm{H}-10 \rightarrow \mathrm{L}+1$	0.13017 (26 %)			LLCT/ILCT	
4	$\mathrm{H} \to \mathrm{L}$	0.68407 (94 %)	3.31/375	0.0593	MLCT/ILCT	
	$H - 4 \rightarrow L$	0.38532 (30 %)	4.45/279	0.1122	MLCT/ILCT	
	$\mathrm{H}-2 \rightarrow \mathrm{L}+3$	0.37374 (28 %)			MLCT/ILCT/LLCT	
	$\mathrm{H}-1 \rightarrow \mathrm{L}+5$	0.20987 (60 %)	4.84/256	0.4181	MLCT/LLCT/ILCT	
	$\mathrm{H}-4 \rightarrow \mathrm{L}+7$	0.35385 (25 %)	5.65/220	0.0571	MLCT/LLCT/ILCT	
	$\mathrm{H}-7 \rightarrow \mathrm{L}+1$	0.30195 (18 %)			LLCT/ILCT	
	$\mathrm{H}-8 \rightarrow \mathrm{L}+1$	0.25128 (13 %)			MLCT/LLCT/ILCT	
5	$\mathrm{H} \to \mathrm{L}$	0.68395 (94 %)	2.24/383	0.0597	MLCT/ILCT	387 (3.1)
	$\mathrm{H}-2 \rightarrow \mathrm{L}+5$	0.65833 (87 %)	4.99/248	0.1674	MLCT/ILCT/LLCT	258 (17.9)
	$\mathrm{H}-5 \rightarrow \mathrm{L}+6$	0.38966 (30 %)	5.71/217	0.0387	MLCT/ILCT/LLCT	
	$H - 10 \rightarrow L$	0.24490 (12 %)			LLCT/ILCT	

<sup>a</sup> The unit of  $\varepsilon$  is 10<sup>3</sup> M<sup>-1</sup> cm<sup>-1</sup>

Figure 3 shows there are distinguishable bands at 320– 420 nm for 1–5. Table 4 shows that the electron excitation from H – 2  $\rightarrow$  L (CI = 0.59458) and H – 4  $\rightarrow$  L (CI = 0.29439) should be responsible for the distinguishable singlet  $\rightarrow$  singlet absorption band at 363 nm of **2**. Table S5 shows that both H – 2 and H – 4 of **2** are composed of d<sub>z<sup>2</sup></sub>(Ir), d<sub>xz</sub>(Ir), d<sub>x<sup>2</sup>-y<sup>2</sup></sub>(Ir), and  $\pi$ (ppy), whereas the LUMO is dominantly localized on  $\pi^*$ (L). Thus, the absorption at 363 nm for **2** can be assigned to  $\{ [d_{z^2}, d_{xz}(Ir), d_{x^2-y^2}(Ir) + \pi$ (ppy)] $\rightarrow [\pi * (L)] \}$  transition with mixing MLCT/LLCT (Fig. 4). Similarly, the absorption at 353 nm of **1** contributed by H – 2  $\rightarrow$  L (CI = 0.60453) and H – 4  $\rightarrow$  L (CI=0.33736) can be described as MLCT/LLCT character, but the absorption bands of **3**–**5** have different transition nature. Table S6 (Supplementary material) and Fig. 4 show that the calculated absorption at 373 nm of **3** is produced by the two excitations of  $H \rightarrow L + 1$  and  $H \rightarrow L + 2$  with the configuration coefficients of 0.55231 and 0.40505, and can be characterized as  $\left\{ \left[ d_{z^2}, d_{xz}(Ir), d_{x^2-y^2}(Ir) + \pi(ppy) \right] \rightarrow \left[ \pi * (ppy) + \pi * (L) \right] \right\}$  transition with MLCT/LLCT/intraligand charge transfer (ILCT) character. With respect to **4** and **5**, the absorptions at 375 and 383 nm are contributed by electron excitation from  $\left\{ \left[ d(Ir) + \pi(ppy) \right] \rightarrow \left[ \pi^*(ppy) \right] \right\}$  (HOMO  $\rightarrow$  LUMO) with MLCT/ILCT character (Tables S7 and S8 in Supplementary



Fig. 3 Simulated absorption spectra of  $1{-}5$  in  $\rm CH_2Cl_2$  media with the calculated data at the TD-DFT level

material). It is found that the transition character of 1–5 converts from MLCT/LLCT to MLCT/ILCT with decreased  $\chi$  values of L ligands. By comparing the absorptions of 1–5 at 353, 363, 373, 375, and 383 nm, it is found that the absorptions in this region are red-shifted in the order 1, 2, 3, 4, 5, which is consistent with a decreasing trend of  $\chi$  value: 4-pyCN > 4-pyCHO > 4-pyCl > py > 4-pyNH<sub>2</sub>.

In the high energy region (220–320 nm), the shoulder absorptions of 1–5 can be assigned to {[d(Ir) +  $\pi$ (ppy)]  $\rightarrow$ [ $\pi^*$  (ppy) +  $\pi^*$ (L)]} with MLCT/LLCT/ILCT transition character. Table 4 and Fig. 3 show that the highest energy absorptions of 2 at 218 nm is attributed to { $\pi$ (ppy) +  $\pi$ (L)]  $\rightarrow$  [ $\pi^*$ (L)]} transition with LLCT/ILCT transition character. Similarly, the absorptions of 1 and 3 are dominantly assigned to LLCT/ILCT transition character mixed with MLCT component. For 4 and 5, { $\pi$ (ppy) +  $\pi$ (L)]  $\rightarrow$  [ $\pi^*$ (ppy)]} excitation is in charge of the absorptions at 220 and 217 nm with MLCT/ LLCT/ILCT transition character.

Experimentally, the absorptions of 2 and 5 at 350– 500 nm are all assigned to MLCT transition, and the absorption of 5 is red-shifted compared with that of 2. In the next lower energy (280–350 nm), the shoulder absorptions can be ascribed to the  $\pi \rightarrow \pi^*$  and MLCT transitions, while in the ultraviolet region, measured at about 250–280 nm, the absorption bands are assigned to  $\pi \rightarrow \pi^*$  transitions. Furthermore, our calculated absorption bands of 2 and 5 are generally consistent with the measured excitation energy values and the transition assignments.

Geometry structures in the triplet excited state and emissions in CH<sub>2</sub>Cl<sub>2</sub> media

The main geometry structural parameters of 1–5 in the lowest triplet states  $T_1$  optimized by the UPBE1PBE method are depicted in Table S10 (Supplementary material). The calculated results reveal that the structures of 1–5 do not vary notably relative to those of the ground states. The Ir – N(1) and Ir – N(2) bond lengths are relatively longer by about 0.007 Å and Ir – C bond lengths strengthen by about 0.030 Å. Due to the different electronegativity of ligands L, the Ir – N(3) and Ir – N(4) bond lengths in 1 and 2 are slightly shortened compared with those in the ground state, while those in 3–5 are somewhat elongated. The minor changes of bond lengths corresponds to the electrons being promoted from the Ir – ppy bonding orbital to the  $\pi^*$  (ppy or L) orbital upon excitation.

The phosphorescence energies of 1-5 in CH<sub>2</sub>Cl<sub>2</sub> media were calculated from the <DELTA>SCF method [53]: the energy difference between the ground singlet and triplet

Fig. 4 Diagrams of the molecular orbital related to the absorptions of 1-5



states in the triplet state optimized geometry. Compared with the experimental phosphorescence value of 2 and 5, it is more accurate than the excitation energies calculated by TDDFT (Table 5). It may be caused by the without spinorbital coupling (SOC) effect in TD-DFT results. However, TDDFT can still provide a reasonable spectral feature for transition-metal complex systems [54, 55]. The frontier molecular orbital compositions responsible for the emissions are compiled in Table S11 (Supplementary material). As shown in Table 5, the lowest-energy emissions of 1-5 are mainly from the transitions of LUMO  $\rightarrow$  HOMO. The analysis of the transition reveals that the emission of 2 at 553 nm originates from the  ${}^{3}{[d(Ir) + \pi(ppy)][\pi^{*}(L)]}$  excited state with <sup>3</sup>MLCT/<sup>3</sup>LLCT character. The nature of the phosphorescence of 1 is similar to that of 2, but the emissions of 3-5 have different transition nature. It is not L ligand but ppy ligand correlating with the emissions. With respect to 3, a <sup>3</sup>MLCT/<sup>3</sup>ILCT/<sup>3</sup>LLCT type transition at 520 nm is described as  ${}^{3}{[d(Ir) + \pi(ppy)][\pi^{*}(ppy) + \pi^{*}]}$ (L)]. The emissions of 4 and 5 have a combined <sup>3</sup>MLCT/<sup>3</sup>ILCT character and should come from the <sup>3</sup>{[d  $(Ir) + \pi(ppy) [\pi^*(ppy)]$  excited state. Herein, we note that the ligand L (4-pyCN or 4-pyCHO) with large electronegativity has a great effect on the phosphorescence character (<sup>3</sup>MLCT/<sup>3</sup>LLCT), but the small electronegativity ligand L (4-pyNH<sub>2</sub>) hardly changes the transition character compared with the emission character of 4 (<sup>3</sup>MLCT/<sup>3</sup>ILCT). In addition, the different phosphorescence character has brought about changes in quantum yield and lifetime, which of 5 have been improved or lengthened compared with that of 2 [19].

The above discussion reveals that the absorptions calculated at 353, 363, 373, 375, and 383 nm for 1-5, respectively, dominantly arise from the combination of MLCT and LLCT or ILCT electronic transitions, while the calculated phosphorescences are just the reverse process of the absorptions. Furthermore, we note that the compositions of HOMO

are similar for 1–5, while those of LUMOs are different. The HOMOs of 1 and 2 are populated on L ligands but those of 4 and 5 are localized on ppy ligand. Therefore, the calculation results indicate that there is a competition between two different types of <sup>3</sup>MLCT transitions: one type concentrates on the L ligand, and the other type relates to the ppy ligand, and which one will win the competition depends on the electronegativity of the ligand L. Hay [56] came to a similar conclusion that two types of <sup>3</sup>MLCT involved a  $\pi^*$  orbital on the C^N or LX ligands compete in the emission.

Solvent effect on the absorption and emission spectra

Different solvents may cause different excitation energies due to the polarity. However, very similar emission spectra of 2 were observed in different solvents (dichloromethane and acetone) within the experimental errors (Table 5). In order to discuss the solvent effect deeply, absorptions and emissions of 1-5 are evaluated with PCM method in methanol, acetone, chloroform, toluene, and cyclohexane, as shown in Table S12 (Supplementary material). It is obvious that both the absorption and emission spectra are very similar in different solvents. Hence, no solvent effects on the absorptions and emissions were observed, as found for some bis-cyclometallated complexes containing three-atom chelates  $[Ir(\eta^2 - ppy)_2(XZY)]$  (XZY<sup>-</sup> = mp<sup>-</sup>, mhp<sup>-</sup>, chp<sup>-</sup>, ac<sup>-</sup>, bz<sup>-</sup>, ma<sup>-</sup>, tma<sup>-</sup>) [57]. We think the knowledge that the Iridium (III) complexes  $[Ir(C^N)_2(L)_2]^+$  have similar absorptions and emissions in different solvents will provide useful guidance for future experiments.

# Conclusions

A series of cationic iridium (III) complexes  $[Ir(ppy)_2(L)_2]^+$ were investigated theoretically containing different pyridine

Table 5	Phosphorescent	emissions ir	n dichlorometha	ne of 1–5	calculated	with the	TDDFT	method,	together	with the exper	imental values
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	Transition	ICII(coeff)	E (eV)/(nm)/(nm) <sup>a</sup>	Assignment	$\lambda_{\text{exp}}(nm)$	$\tau(ns)^{c}$	$\boldsymbol{\sigma}^d$
1	$L \rightarrow H$	0.70260 (99 %)	2.22/560/540	<sup>3</sup> MLCT/ <sup>3</sup> LLCT			
2	$\mathrm{L} \to \mathrm{H}$	0.69997 (98 %)	2.13/581/553	<sup>3</sup> MLCT/ <sup>3</sup> LLCT	517/517 <sup>b</sup>	18	0.01
3	$\mathrm{L} \to \mathrm{H}$	0.64135 (82 %)	2.14/580/520	<sup>3</sup> MLCT/ <sup>3</sup> ILCT/ <sup>3</sup> LLCT			
	$L \rightarrow H-1$	0.39657 (31 %)		<sup>3</sup> MLCT/ <sup>3</sup> ILCT/ <sup>3</sup> LLCT			
4	$\mathrm{L} \to \mathrm{H}$	0.62331 (78 %)	2.44/509/464	<sup>3</sup> MLCT/ <sup>3</sup> ILCT			
	$\rm L+1 \rightarrow \rm H-1$	0.31651 (20 %)		<sup>3</sup> MLCT/ <sup>3</sup> ILCT			
5	$\mathrm{L} \to \mathrm{H}$	0.63279 (80 %)	2.14/578/541	<sup>3</sup> MLCT/ <sup>3</sup> ILCT	496	300	0.12
	$L \to H^{-1}$	0.39385 (31 %)		<sup>3</sup> MLCT/ <sup>3</sup> ILCT/ <sup>3</sup> LLCT			

<sup>a</sup> obtained by <DELTA>SCF method

<sup>b</sup> in acetone solution

 $^{c}\tau$ : life time [ref. 19]

<sup>d</sup>  $\sigma$ : quantum yield [ref. 19]

derivatives L. The ligands L with different electronegativity can cause some variations in electronic structures and spectroscopic properties.

- The nature of LUMO and LUMO + 1 change from π\* (L) to π\*(ppy) with decreased electronegativity (χ) values of ligands L, but that of HOMO is hardly affected.
- 2. The absorption transition character of 1–5 converts from MLCT/LLCT to MLCT/ILCT and the absorptions are red-shifted in the order 1, 2, 3, 4, 5, which is consistent with a decreasing trend of  $\chi$  value: 4-pyCN > 4-pyCHO > 4-pyCl > py > 4-pyNH<sub>2</sub>.
- The different phosphorescence character (<sup>3</sup>MLCT/<sup>3</sup>LLCT or <sup>3</sup>MLCT/<sup>3</sup>ILCT) has brought about changes in quantum yield and lifetime.
- 4. The iridium (III) complexes  $[Ir(C^N)_2(L)_2]^+$  have similar absorptions and emissions in different solvents.

We hope that the study could provide useful information for the design of new phosphors in chemosensors with high phosphorescence quantum yields.

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